





### CASP Randomised Controlled Trial Standard Checklist:

11 questions to help you make sense of a randomised controlled trial (RCT)

**Main issues for consideration:** Several aspects need to be considered when appraising a randomised controlled trial:

-  Is the basic study design valid for a randomised controlled trial? (Section A)
-  Was the study methodologically sound? (Section B)
-  What are the results? (Section C)
-  Will the results help locally? (Section D)

The 11 questions in the checklist are designed to help you think about these aspects systematically.

**How to use this appraisal tool:** The first three questions (Section A) are screening questions about the validity of the basic study design and can be answered quickly. If, in light of your responses to Section A, you think the study design is valid, continue to Section B to assess whether the study was methodologically sound and if it is worth continuing with the appraisal by answering the remaining questions in Sections C and D.

Record 'Yes', 'No' or 'Can't tell' in response to the questions. Prompts below all but one of the questions highlight the issues it is important to consider. Record the reasons for your answers in the space provided. As CASP checklists were designed to be used as educational/teaching tools in a workshop setting, we do not recommend using a scoring system.

**About CASP Checklists:** The CASP RCT checklist was originally based on JAMA Users' guides to the medical literature 1994 (adapted from Guyatt GH, Sackett DL and Cook DJ), and piloted with healthcare practitioners. This version has been updated taking into account the CONSORT 2010 guideline (<http://www.consort-statement.org/consort-2010>, accessed 16 September 2020).

**Citation:** CASP recommends using the Harvard style, i.e. *Critical Appraisal Skills Programme (2020). CASP (insert name of checklist i.e. Randomised Controlled Trial) Checklist. [online] Available at: insert URL. Accessed: insert date accessed.*

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**Study and citation:** .....

**Section A: Is the basic study design valid for a randomised controlled trial?**

<p><b>1. Did the study address a clearly focused research question?</b> <i>CONSIDER:</i></p> <ul style="list-style-type: none"> <li>• Was the study designed to assess the outcomes of an intervention?</li> <li>• Is the research question 'focused' in terms of:             <ul style="list-style-type: none"> <li>• Population studied</li> <li>• Intervention given</li> <li>• Comparator chosen</li> <li>• Outcomes measured?</li> </ul> </li> </ul>	Yes <input type="checkbox"/>	No <input type="checkbox"/>	Can't tell <input type="checkbox"/>
<p><b>2. Was the assignment of participants to interventions randomised?</b> <i>CONSIDER:</i></p> <ul style="list-style-type: none"> <li>• How was randomisation carried out? Was the method appropriate?</li> <li>• Was randomisation sufficient to eliminate systematic bias?</li> <li>• Was the allocation sequence concealed from investigators and participants?</li> </ul>	Yes <input type="checkbox"/>	No <input type="checkbox"/>	Can't tell <input type="checkbox"/>
<p><b>3. Were all participants who entered the study accounted for at its conclusion?</b> <i>CONSIDER:</i></p> <ul style="list-style-type: none"> <li>• Were losses to follow-up and exclusions after randomisation accounted for?</li> <li>• Were participants analysed in the study groups to which they were randomised (intention-to-treat analysis)?</li> <li>• Was the study stopped early? If so, what was the reason?</li> </ul>	Yes <input type="checkbox"/>	No <input type="checkbox"/>	Can't tell <input type="checkbox"/>

**Section B: Was the study methodologically sound?**

<p><b>4.</b></p> <ul style="list-style-type: none"> <li>• Were the participants 'blind' to intervention they were given?</li> <li>• Were the investigators 'blind' to the intervention they were giving to participants?</li> <li>• Were the people assessing/analysing outcome/s 'blinded'?</li> </ul>	Yes <input type="checkbox"/>	No <input type="checkbox"/>	Can't tell <input type="checkbox"/>
<p><b>5. Were the study groups similar at the start of the randomised controlled trial?</b> <i>CONSIDER:</i></p> <ul style="list-style-type: none"> <li>• Were the baseline characteristics of each study group (e.g. age, sex, socio-economic group) clearly set out?</li> <li>• Were there any differences between the study groups that could affect the outcome/s?</li> </ul>	Yes <input type="checkbox"/>	No <input type="checkbox"/>	Can't tell <input type="checkbox"/>

<p><b>6. Apart from the experimental intervention, did each study group receive the same level of care (that is, were they treated equally)?</b></p> <p><i>CONSIDER:</i></p> <ul style="list-style-type: none"> <li>• Was there a clearly defined study protocol?</li> <li>• If any additional interventions were given (e.g. tests or treatments), were they similar between the study groups?</li> <li>• Were the follow-up intervals the same for each study group?</li> </ul>	Yes <input type="checkbox"/>	No <input type="checkbox"/>	Can't tell <input type="checkbox"/>
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**Section C: What are the results?**

<p><b>7. Were the effects of intervention reported comprehensively?</b></p> <p><i>CONSIDER:</i></p> <ul style="list-style-type: none"> <li>• Was a power calculation undertaken?</li> <li>• What outcomes were measured, and were they clearly specified?</li> <li>• How were the results expressed? For binary outcomes, were relative and absolute effects reported?</li> <li>• Were the results reported for each outcome in each study group at each follow-up interval?</li> <li>• Was there any missing or incomplete data?</li> <li>• Was there differential drop-out between the study groups that could affect the results?</li> <li>• Were potential sources of bias identified?</li> <li>• Which statistical tests were used?</li> <li>• Were p values reported?</li> </ul>	Yes <input type="checkbox"/>	No <input type="checkbox"/>	Can't tell <input type="checkbox"/>
<p><b>8. Was the precision of the estimate of the intervention or treatment effect reported?</b></p> <p><i>CONSIDER:</i></p> <ul style="list-style-type: none"> <li>• Were confidence intervals (CIs) reported?</li> </ul>	Yes <input type="checkbox"/>	No <input type="checkbox"/>	Can't tell <input type="checkbox"/>
<p><b>9. Do the benefits of the experimental intervention outweigh the harms and costs?</b></p> <p><i>CONSIDER:</i></p> <ul style="list-style-type: none"> <li>• What was the size of the intervention or treatment effect?</li> <li>• Were harms or unintended effects reported for each study group?</li> <li>• Was a cost-effectiveness analysis undertaken? (Cost-effectiveness analysis allows a comparison to be made between different interventions used in the care of the same condition or problem.)</li> </ul>	Yes <input type="checkbox"/>	No <input type="checkbox"/>	Can't tell <input type="checkbox"/>

Section D: Will the results help locally?

<p><b>10. Can the results be applied to your local population/in your context?</b></p> <p><i>CONSIDER:</i></p> <ul style="list-style-type: none"> <li>• Are the study participants similar to the people in your care?</li> <li>• Would any differences between your population and the study participants alter the outcomes reported in the study?</li> <li>• Are the outcomes important to your population?</li> <li>• Are there any outcomes you would have wanted information on that have not been studied or reported?</li> <li>• Are there any limitations of the study that would affect your decision?</li> </ul>	<p>Yes <input type="checkbox"/></p>	<p>No <input type="checkbox"/></p>	<p>Can't tell <input type="checkbox"/></p>
<p><b>11. Would the experimental intervention provide greater value to the people in your care than any of the existing interventions?</b></p> <p><i>CONSIDER:</i></p> <ul style="list-style-type: none"> <li>• What resources are needed to introduce this intervention taking into account time, finances, and skills development or training needs?</li> <li>• Are you able to disinvest resources in one or more existing interventions in order to be able to re-invest in the new intervention?</li> </ul>	<p>Yes <input type="checkbox"/></p>	<p>No <input type="checkbox"/></p>	<p>Can't tell <input type="checkbox"/></p>

**APPRAISAL SUMMARY:** Record key points from your critical appraisal in this box. What is your conclusion about the paper? Would you use it to change your practice or to recommend changes to care/interventions used by your organisation? Could you judiciously implement this intervention without delay?